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on 6-30-08

PATENT  
Docket No.: 019934-003720US

TOWNSEND and TOWNSEND and CREW LLP

By: 

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Andrew M.K. Pennell et al.

Patent No.:

Issued:

Application No.: 10/732,897

Filed: December 9, 2003

For: SUBSTITUTED PIPERAZINES

Customer No.: 20350

Confirmation No.: 6930

Examiner: Emily B. Bernhardt

Art Unit: 1624

COMMUNICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

A Request for Continued Examination has been submitted in order to allow the Office to properly consider related applications in the context of the added inventor (for the instant application and for the parent case, now U.S. Patent No. 7,157,464). Copies of documentation provided in the parent case are provided herewith in an effort to expedite review by the Office.

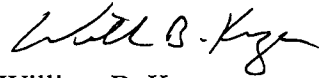
Accordingly, Applicants respectfully request entry and favorable consideration of the petition filed April 24, 2008.

Andrew M.K. Pennell et al.  
Application No.: 10/732,897  
Page 2

PATENT

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 925-472-5000.

Respectfully submitted,



William B. Kezer  
Reg. No. 37,369

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, California 94111-3834  
Tel: (415) 576-0200  
Fax: (415) 576-0300  
WBK:daw

PATENT APPLICATION  
FILING ACKNOWLEDGMENT

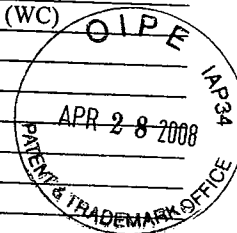
Mailing Date: 04/24/2008  
File No.: 019934-003720US Attorney: WBK/lml (WC)  
Serial No. 10/732,897  
Inventor(s): Andrew M.K. Pennell  
Title: SUBSTITUTED PIPERAZINES  
  
Due Date: N/A

Transmittal Form; Fee Transmittal Form; Request for Correction of Inventive Entity (2 pages); Statement of Valeri V. Martichonok (1 page); Declaration (2 pages); Consent of ChemoCentryx, Inc. (1 page); Supplemental Application Data Sheet (6 pages).

Please stamp the date of receipt of the enclosed documents and return this card to addressee

PATENT APPLICATION  
FILING ACKNOWLEDGMENT

Mailing Date: 04/24/2008  
File No.: 019934-003720US Attorney: WBK/lml (WC)  
Serial No. 10/732,897  
Inventor(s): Andrew M.K. Pennell  
Title: SUBSTITUTED PIPERAZINES  
  
Due Date: N/A



Transmittal Form; Fee Transmittal Form; Request for Correction of Inventive Entity (2 pages); Statement of Valeri V. Martichonok (1 page); Declaration (2 pages); Consent of ChemoCentryx, Inc. (1 page); Supplemental Application Data Sheet (6 pages).

Please stamp the date of receipt of the enclosed documents and return this card to addressee

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

14

Application Number

10/732,897

Filing Date

December 9, 2003

First Named Inventor

Andrew M.K. Pennell

Art Unit

1624

Examiner Name

Emily B. Bernhardt

Attorney Docket Number

019934-003720US

## ENCLOSURES (Check all that apply)

- |   |  |   |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Request for Correction of Inventive Entity (2 pages); Statement of Valeri V. Martichonok (1 page); Declaration (2 pages); Consent of ChemoCentryx, Inc. (1 page); Supplemental Application Data Sheet (6 pages); Return Postcard |
|---|--|---|

Remarks

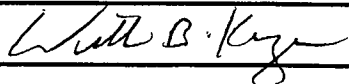
The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Townsend and Townsend and Crew LLP

Signature



Printed name

William B. Kezer

Date

April 23, 2008

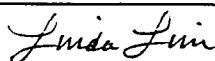
Reg. No.

37,369

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature



Typed or printed name

Linda Lim

Date

April 24, 2008

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2008

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 130

### Complete if Known

Application Number 10/732,897  
Filing Date December 9, 2003  
First Named Inventor Pennell, Andrew M.K.  
Examiner Name Emily B. Bernhardt  
Art Unit 1624  
Attorney Docket No. 019934-003720US

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_  
☒ Deposit Account Deposit Account Number: 20-1430 Deposit Account Name: Townsend and Townsend and Crew LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	100	0	0	0	0	

#### 2. EXCESS CLAIM FEES

##### Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

	Small Entity
Fee (\$)	Fee (\$)
50	25
210	105
370	185
Multiple Dependent Claims	
Fee (\$)	Fee Paid (\$)

**Total Claims** **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

-20 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of total claims paid for, if greater than 20

**Indep. Claims** **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

-3 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of independent claims paid for, if greater than 3

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

**Total Sheets** **Extra Sheets** **Number of each additional 50 or fraction thereof** **Fee (\$)** **Fee Paid (\$)**

- 100 = \_\_\_\_\_ / 50 = \_\_\_\_\_ (round up to a whole number) x \_\_\_\_\_ = \_\_\_\_\_

#### 4. OTHER FEE(S)

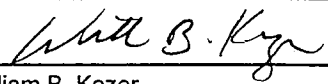
Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Correcting inventorship

**Fees Paid (\$)**

130

#### SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 37,369	Telephone 925-472-5000
Name (Print/Type)	William B. Kezer	Date	April 23, 2008

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

On April 24, 2008

TOWNSEND and TOWNSEND and CREW LLP

By: Linda Lim  
Linda Lim

PATENT  
Docket No.: 019934-003720US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Andrew M.K. Pennell, et al.

Application No.: 10/732,897

Filed: December 9, 2003

For: SUBSTITUTED PIPERAZINES

Confirmation No.: 6930

Examiner: Emily B. Bernhardt

Art Unit: 1624

REQUEST FOR CORRECTION OF  
INVENTIVE ENTITY UNDER 37 CFR  
1.48(a)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request correction of inventorship in the above-captioned patent application. The correct inventive entity is set forth as follows:

- |                          |                           |
|--------------------------|---------------------------|
| 1. Andrew M. K. Pennell  | 2. James B. Aggen         |
| 3. J. J. Kim Wright      | 4. Subhabrata Sen         |
| 5. Brian E. McMaster     | 6. Daniel Joseph Dairaghi |
| 7. Valeri V. Martichonok |                           |

In accordance with 37 CFR 1.48(a), Applicants submit the following documents:

1. A statement from inventor to be added that the error in inventorship occurred without any deceptive intent on his part;
2. A declaration by all inventors as required by § 1.63;
3. Written consent of the assignee to the change in inventorship;
4. The processing fee set forth in 37 C.F.R. § 1.17(i).

Please charge the processing fee of \$130.00 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



William B. Kezer  
Reg. No. 37,369

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, California 94111-3834  
Tel: (415) 576-0200  
Fax: (415) 576-0300  
WBK: jam

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Andrew M.K. Pennell et al.

Application No.: 10/732,897

Filed: December 9, 2003

For: SUBSTITUTED PIPERAZINES

Examiner: Emily B. Bernhardt

Art Unit: 1624

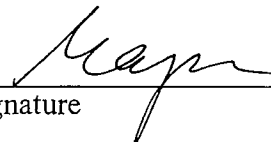
STATEMENT OF PERSON BEING  
ADDED BY REQUEST TO CHANGE  
INVENTORSHIP (37 C.F.R. §1.48(a))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, Valeri V. Martichonok, the person who is being added as an inventor by the request being submitted to correct the inventorship of the above-captioned patent application, do hereby declare that the inventorship error of failing to include Valeri V. Martichonok as an inventor of the patent occurred without any deceptive intention on the part of Valeri V. Martichonok.

Valeri V. Martichonok

  
\_\_\_\_\_  
Signature

Jan 28, 2008  
\_\_\_\_\_  
Date



# DECLARATION

As a below named inventor, I declare that:

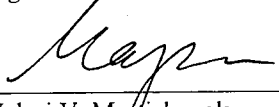
My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: SUBSTITUTED PIPERAZINES the specification of which was filed on December 9, 2003 as Application No. 10/732,897.

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Full Name of Inventor 1:	Last Name: <b>PENNELL</b>	Suffix:	First Name: <b>ANDREW</b>	Middle Name or Initial: <b>M.K.</b>
Residence & Citizenship:	City: <b>San Francisco</b>		State/Foreign Country: <b>California</b>	Country of Citizenship: <b>United Kingdom</b>
Post Office Address:	Post Office Address: <b>148 Hancock Street</b>		City: <b>San Francisco</b>	State/Country: <b>California</b> Postal Code: <b>94114</b>
Full Name of Inventor 2:	Last Name: <b>AGGEN</b>	Suffix:	First Name: <b>JAMES</b>	Middle Name or Initial: <b>B.</b>
Residence & Citizenship:	City: <b>Burlingame</b>		State/Foreign Country: <b>California</b>	Country of Citizenship: <b>United States</b>
Post Office Address:	Post Office Address: <b>1311 California Drive</b>		City: <b>Burlingame</b>	State/Country: <b>California</b> Postal Code: <b>94010</b>
Full Name of Inventor 3:	Last Name: <b>WRIGHT</b>	Suffix:	First Name: <b>J.J.</b>	Middle Name or Initial: <b>Kim</b>
Residence & Citizenship:	City: <b>Redwood City</b>		State/Foreign Country: <b>California</b>	Country of Citizenship: <b>Australia</b>
Post Office Address:	Post Office Address: <b>720 Bair Road, Apt. 107</b>		City: <b>Redwood City</b>	State/Country: <b>California</b> Postal Code: <b>94063</b>
Full Name of Inventor 4:	Last Name: <b>SEN</b>	Suffix:	First Name: <b>SUBHABRATA</b>	Middle Name or Initial:
Residence & Citizenship:	City: <b>Sunnyvale</b>		State/Foreign Country: <b>California</b>	Country of Citizenship: <b>India</b>
Post Office Address:	Post Office Address: <b>655 S. Fair Oaks Avenue, #P-204</b>		City: <b>Sunnyvale</b>	State/Country: <b>California</b> Postal Code: <b>94086</b>
Full Name of Inventor 5:	Last Name: <b>MCMASTER</b>	Suffix:	First Name: <b>BRIAN</b>	Middle Name or Initial: <b>E.</b>
Residence & Citizenship:	City: <b>Mountain View</b>		State/Foreign Country: <b>California</b>	Country of Citizenship: <b>United States</b>
Post Office Address:	Post Office Address: <b>120 Walker Drive</b>		City: <b>Mountain View</b>	State/Country: <b>California</b> Postal Code: <b>94043</b>
Full Name of Inventor 6:	Last Name: <b>DAIRAGHI</b>	Suffix:	First Name: <b>DANIEL</b>	Middle Name or Initial: <b>Joseph</b>
Residence & Citizenship:	City: <b>Palo Alto</b>		State/Foreign Country: <b>California</b>	Country of Citizenship: <b>United States</b>
Post Office Address:	Post Office Address: <b>178 El Dorado Avenue</b>		City: <b>Palo Alto</b>	State/Country: <b>California</b> Postal Code: <b>94306</b>

Full Name of Inventor 7:	Last Name: <b>MARTICHONOK</b>	Suffix:	First Name: <b>VALERI</b>	Middle Name or Initial: <b>V.</b>
Residence & Citizenship:	City: <b>San Francisco</b>	State/Foreign Country: <b>California</b>	Country of Citizenship: <b>United States</b>	
Post Office Address:	Post Office Address: <b>433 Font Boulevard</b>	City: <b>San Francisco</b>	State/Country: <b>California</b>	Postal Code: <b>94132</b>

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1  _____ Andrew M.K. Pennell Date	Signature of Inventor 2  _____ James B. Aggen Date	Signature of Inventor 3  _____ J.J. Kim Wright Date
Signature of Inventor 4  _____ Subhabrata Sen Date	Signature of Inventor 5  _____ Brian E. McMaster Date	Signature of Inventor 6  _____ Daniel Joseph Dairaghi Date
Signature of Inventor 7  _____ Valeri V. Martichonok Date <b>Jan 28, 2008</b>		

61231295 v1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Andrew M.K. Pennell, et al.

Application No.: 10/732,897

Filed: December 9, 2003

For: SUBSTITUTED PIPERAZINES

Examiner: Emily B. Bernhardt

Art Unit: 1624

CONSENT OF ASSIGNEE TO CHANGE  
INVENTORSHIP IN A PATENT  
APPLICATION UNDER 37 C.F.R. §  
1.48(a)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The assignee of the above-identified patent application, consents to amending the subject patent application to add:

**Valeri V. Martichonok**

as an inventor of the above-identified patent application.

The Undersigned has the authority to act on behalf of the assignee.

Date: April 7, 2008

By: 

Name: Thomas Schall

Title: President and CEO

Assignee: ChemoCentryx, Inc.

## Supplemental Application Data Sheet

### Application Information

Application number::	<del>Not Yet Assigned</del>	<u>10/732,897</u>
Filing Date::	<del>Herewith</del>	<u>12/09/03</u>
Application Type::	Regular	
Subject Matter::	Utility	
Suggested classification::		
Suggested Group Art Unit::		
CD-ROM or CD-R??::		
Number of CD disks::		
Number of copies of CDs::		
Sequence Submission::		
Computer Readable Form (CRF)?::		
Number of copies of CRF::		
Title::	SUBSTITUTED PIPERAZINES	
Attorney Docket Number::	019934-003720US	
Request for Early Publication::	No	
Request for Non-Publication::	No	
Suggested Drawing Figure::		
Total Drawing Sheets::	26	
Small Entity?::	Yes	
Latin name::		
Variety denomination name::		
Petition included?::	No	
Petition Type::		
Licensed US Govt. Agency::		
Contract or Grant Numbers One::		
Secrecy Order in Parent Appl.::	No	

### **Applicant Information**

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: United Kingdom  
Status:: Full Capacity  
Given Name:: Andrew  
Middle Name:: M.K.  
Family Name:: Pennell  
Name Suffix::  
City of Residence:: San Francisco  
State or Province of Residence:: CA  
Country of Residence:: US  
Street of Mailing Address:: 148 Hancock Street  
City of Mailing Address:: San Francisco  
State or Province of mailing address:: CA  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94114

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: James  
Middle Name:: B.  
Family Name:: Aggen  
Name Suffix::  
City of Residence:: Burlingame  
State or Province of Residence:: CA  
Country of Residence:: US  
Street of Mailing Address:: 1311 California Drive  
City of Mailing Address:: Burlingame  
State or Province of mailing address:: CA  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94010

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: Australia  
Status:: Full Capacity  
Given Name:: J.J.  
Middle Name:: Kim  
Family Name:: Wright  
Name Suffix::  
City of Residence:: Redwood City  
State or Province of Residence:: CA  
Country of Residence:: US  
Street of Mailing Address:: 720 Bair Road, Apt. 107  
City of Mailing Address:: Redwood City  
State or Province of mailing address:: CA  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94063

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: India  
Status:: Full Capacity  
Given Name:: Subhabrata  
Middle Name::  
Family Name:: Sen  
Name Suffix::  
City of Residence:: Sunnyvale  
State or Province of Residence:: CA  
Country of Residence:: US  
Street of Mailing Address:: 655 S. Fair Oaks Avenue, #P-204  
City of Mailing Address:: Sunnyvale  
State or Province of mailing address:: CA  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94086

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Brian  
Middle Name:: E.  
Family Name:: McMaster  
Name Suffix::  
City of Residence:: Mountain View  
State or Province of Residence:: CA  
Country of Residence:: US  
Street of Mailing Address:: 120 Walker Drive  
City of Mailing Address:: Mountain View  
State or Province of mailing address:: CA  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94086 94043

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Daniel  
Middle Name:: Joseph  
Family Name:: Dairaghi  
Name Suffix::  
City of Residence:: Palo Alto  
State or Province of Residence:: CA  
Country of Residence:: US  
Street of Mailing Address:: 178 El Dorado Avenue  
City of Mailing Address:: Palo Alto  
State or Province of mailing address:: CA  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94306

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Valeri  
Middle Name:: V.  
Family Name:: Martichonok  
Name Suffix::  
City of Residence:: San Francisco  
State or Province of Residence:: CA  
Country of Residence:: US  
Street of Mailing Address:: 433 Font Boulevard  
City of Mailing Address:: San Francisco  
State or Province of mailing address:: CA  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94132

#### Correspondence Information

Correspondence Customer Number:: 20350

#### Representative Information

Representative Designation::	Representative Number::	Representative Name::
Primary	30,223	William M. Smith
Primary	37,369	William B. Kezer

#### Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This application is a:	CIP of:	10/460,752	06/11/03
and claims benefit of:	Provisional	60/453,711	06/12/02

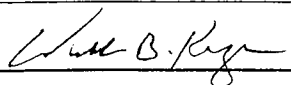
#### Assignee Information

Assignee Name:: ChemoCentryx, Inc.  
Street of mailing address:: 1539 Industrial Road  
City of mailing address:: San Carlos  
State or Province of mailing address:: CA



Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94070

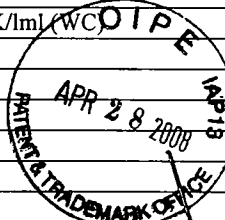
Submitted by:

Signature		Date	4-23-08
Printed Name	William B. Kezer	Registration Number	37,369

60189250 v1

PATENT APPLICATION  
FILING ACKNOWLEDGMENT

Mailing Date: 04/23/2008  
File No.: 019934-003710US Attorney: WBK/lml (WC) O T P E  
Serial No. 10/460,752  
Inventor(s): Andrew M.K. Pennell  
Title: SUBSTITUTED PIPERAZINES  
Due Date: N/A



Transmittal Form; Fee Transmittal Form; Request for Correction of Inventive Entity (2 pp);  
Statement of Valeri V. Martichonok (1 p); Statement of Andrew M.K. Pennell (1 p);  
Statement of James B. Aggen (1 p); Statement of J.J. Kim wright (1 p); Statement of  
Subrabrata Sen (1 p); Statement of Brian E. McMaster (1 p); Statement of Daniel Joseph  
Dairaghi (1 p); Consent of Chemocentryx, Inc. (1 p).

Please stamp the date of receipt of the enclosed documents and return this card to addressee

WC)

e Entity (2 pp);  
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aniel Joseph

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

12

Application Number

10/460,752

Filing Date

June 11, 2003

First Named Inventor

Pennell, Andrew M.K.

Art Unit

1624

Examiner Name

Emily B. Bernhardt

Attorney Docket Number

019934-003710US

## ENCLOSURES (Check all that apply)



Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional ApplicationPower of Attorney, Revocation  
Change of Correspondence Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_



Landscape Table on CD



After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):

Request for Correction of Inventive Entity (2 pp); Statement of Valeri V. Martichonok (1 p); Statement of Andrew M.K. Pennell (1 p); Statement of James B. Aggen (1 p); Statement of J.J. Kim Wright (1 p); Statement of Subrata Sen (1 p); Statement of Brian E. McMaster (1 p); Statement of Daniel Joseph Dairaghi (1 p); Consent of ChemoCentryx, Inc. (1 p); Return Postcard

Remarks

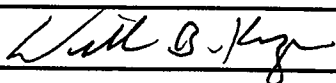
The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Townsend and Townsend and Crew LLP

Signature



Printed name

William B. Kezer

Date

April 22, 2008

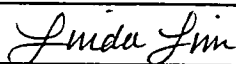
Reg. No.

37,369

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature



Typed or printed name

Linda Lim

Date

April 23, 2008

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

For FY 2008

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 130

## Complete if Known

Application Number	10/460,752
Filing Date	June 11, 2003
First Named Inventor	Pennell, Andrew M.K.
Examiner Name	Emily B. Bernhardt
Art Unit	1624
Attorney Docket No.	019934-003710US

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_  
☒ Deposit Account Deposit Account Number: 20-1430 Deposit Account Name: Townsend and Townsend and Crew LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Small Entity		Small Entity		Small Entity		
	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	100	0	0	0	0	

#### 2. EXCESS CLAIM FEES

##### Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

	Small Entity
Fee (\$)	Fee (\$)
50	25
210	105
370	185

**Total Claims** **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

-20 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of total claims paid for, if greater than 20

**Indep. Claims** **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

-3 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of independent claims paid for, if greater than 3

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

**Total Sheets** **Extra Sheets** **Number of each additional 50 or fraction thereof** **Fee (\$)** **Fee Paid (\$)**

- 100 = \_\_\_\_\_ / 50 = \_\_\_\_\_ (round up to a whole number) x \_\_\_\_\_ = \_\_\_\_\_

#### 4. OTHER FEE(S)

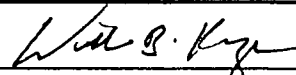
Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Correcting inventorship in a patent

**Fees Paid (\$)**

130

#### SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 37,369	Telephone 925-472-5000
Name (Print/Type)	William B. Kezer	Date	April 22, 2008

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

On April 23, 2008

PATENT  
Docket No.: 019934-003710US

TOWNSEND and TOWNSEND and CREW LLP

By: Linda Lim  
Linda Lim

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Andrew M.K. Pennell, et al.

Patent No.: 7,157,464

Issued: January 2, 2007

Application No.: 10/460,752

Filed: June 11, 2003

For: SUBSTITUTED PIPERAZINES

Confirmation No.: 5006

Examiner: Emily B. Bernhardt

Art Unit: 1624

REQUEST FOR CORRECTION OF  
INVENTIVE ENTITY UNDER 37  
C.F.R. §1.324(a)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request correction of inventorship in the above-captioned patent. The correct inventive entity is set forth as follows:

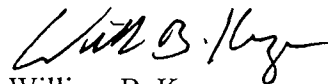
- |                                 |                                  |
|---------------------------------|----------------------------------|
| <b>1. Andrew M.K. Pennell</b>   | <b>2. James B. Aggen</b>         |
| <b>3. J.J. Kim Wright</b>       | <b>4. Subrabrata Sen</b>         |
| <b>5. Brian E. McMaster</b>     | <b>6. Daniel Joseph Dairaghi</b> |
| <b>7. Valeri V. Martichonok</b> |                                  |

In accordance with 37 C.F.R. §1.324 (a), Applicants submit the following documents:

1. A statement from inventor being added that the error in inventorship occurred without any deceptive intent on his part;
2. A statement from all current named inventors agreeing to the change of inventorship;
3. Written consent of the assignee to the change of inventorship;
4. The processing fee set forth in 37 C.F.R. §1.20(b).

Please charge the processing fee of \$130.00 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment, to the above noted Deposit Account.

Respectfully submitted,



William B. Kezer  
Reg. No. 37,369

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, California 94111-3834  
Tel: (415) 576-0200  
Fax: (415) 576-0300  
WBK:lml

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Andrew M.K. Pennell, et al.

Patent No.: 7,157,464

Issued: January 2, 2007

Application No.: 10/460,752

Filed: June 11, 2003

For: SUBSTITUTED PIPERAZINES

Examiner: Emily B. Bernhardt

Art Unit: 1624

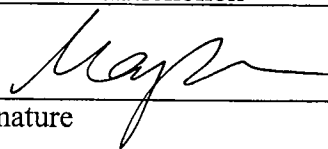
STATEMENT OF PERSON BEING  
ADDED BY REQUEST TO  
CHANGE INVENTORSHIP (37  
C.F.R. §1.324(b)(1))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, Valeri V. Martichonok, the person who is being added as an inventor by the request being submitted to correct inventorship of the above-captioned patent, do hereby declare that the inventorship error occurred without any deceptive intention on the part of Valeri V. Martichonok.

Valeri V. Martichonok

  
\_\_\_\_\_  
Signature

Jan 28, 2008  
\_\_\_\_\_  
Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Andrew M.K. Pennell, et al.

Patent No.: 7,157,464

Issued: January 2, 2007

Application No.: 10/460,752

Filed: June 11, 2003

For: SUBSTITUTED PIPERAZINES

Examiner: Emily B. Bernhardt

Art Unit: 1624

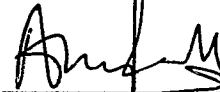
STATEMENT OF CURRENT  
NAMED INVENTOR AGREEING  
TO REQUEST IN CHANGE OF  
INVENTORSHIP (37 C.F.R.  
§1.324(b)(2))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, Andrew M.K. Pennell, a person who is already named an inventor on the above-captioned patent, do hereby declare that the inventorship error occurred without any deceptive intention and agree to the requested change in inventorship.

Andrew M.K. Pennell



Signature

02/20/08

Date



PATENT  
Docket No.: 019934-003710US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Andrew M.K. Pennell, et al.

Patent No.: 7,157,464

Issued: January 2, 2007

Application No.: 10/460,752

Filed: June 11, 2003

For: SUBSTITUTED PIPERAZINES

Examiner: Emily B. Bernhardt

Art Unit: 1624

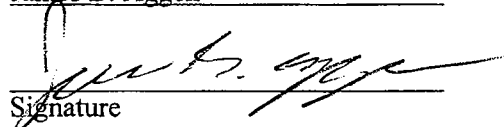
STATEMENT OF CURRENT  
NAMED INVENTOR AGREEING  
TO REQUEST IN CHANGE OF  
INVENTORSHIP (37 C.F.R.  
§1.324(b)(2))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, James B. Aggen, a person who is already named an inventor on the above-captioned patent, do hereby declare that the inventorship error occurred without any deceptive intention and agree to the requested change in inventorship.

James B. Aggen

  
Signature

2/22/08  
Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Andrew M.K. Pennell, et al.

Patent No.: 7,157,464

Issued: January 2, 2007

Application No.: 10/460,752

Filed: June 11, 2003

For: SUBSTITUTED PIPERAZINES

Examiner: Emily B. Bernhardt

Art Unit: 1624

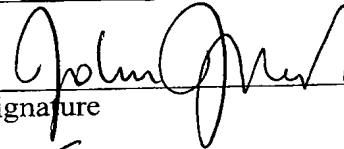
STATEMENT OF CURRENT  
NAMED INVENTOR AGREEING  
TO REQUEST IN CHANGE OF  
INVENTORSHIP (37 C.F.R.  
§1.324(b)(2))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, J.J. Kim Wright, a person who is already named an inventor on the above-captioned patent, do hereby declare that the inventorship error occurred without any deceptive intention and agree to the requested change in inventorship.

J.J. Kim Wright

  
Signature

February 24, 2008  
Date

PATENT  
Docket No.: 019934-003710US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Andrew M.K. Pennell, et al.

Patent No.: 7,157,464

Issued: January 2, 2007

Application No.: 10/460,752

Filed: June 11, 2003

For: SUBSTITUTED PIPERAZINES

Examiner: Emily B. Bernhardt

Art Unit: 1624

STATEMENT OF CURRENT  
NAMED INVENTOR AGREEING  
TO REQUEST IN CHANGE OF  
INVENTORSHIP (37 C.F.R.  
§1.324(b)(2))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, Subrabrata Sen, a person who is already named an inventor on the above-captioned patent, do hereby declare that the inventorship error occurred without any deceptive intention and agree to the requested change in inventorship.

Subrabrata Sen

Subrabrata Sen  
Signature

3rd April 2008  
Date

In re application of:

Examiner: Emily B. Bernhardt

Art Unit: 1624

STATEMENT OF CURRENT  
NAMED INVENTOR AGREEING  
TO REQUEST IN CHANGE OF  
INVENTORSHIP (37 C.F.R.  
§1.324(b)(2))

§1.324(b)(2))

For: SUBSTITUTED PIPERAZINES

Sir:

Brian E. McMaster

Signature

Date \_\_\_\_\_

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Andrew M.K. Pennell, et al.

Patent No.: 7,157,464

Issued: January 2, 2007

Application No.: 10/460,752

Filed: June 11, 2003

For: SUBSTITUTED PIPERAZINES

Examiner: Emily B. Bernhardt

Art Unit: 1624

STATEMENT OF CURRENT  
NAMED INVENTOR AGREEING  
TO REQUEST IN CHANGE OF  
INVENTORSHIP (37 C.F.R.  
§1.324(b)(2))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, Daniel Joseph Dairaghi, a person who is already named an inventor on the above-captioned patent, do hereby declare that the inventorship error occurred without any deceptive intention and agree to the requested change in inventorship.

Daniel Joseph Dairaghi

  
Signature

2/8/08  
Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Andrew M.K. Pennell, et al.

Patent No.: 7,157,464

Issued: January 2, 2007

Application No.: 10/460,752

Filed: June 11, 2003

For: SUBSTITUTED PIPERAZINES

Examiner: Emily B. Bernhardt

Art Unit: 1624

CONSENT OF ASSIGNEE TO  
CHANGE INVENTORSHIP IN A  
PATENT UNDER 37 C.F.R.  
§1.324(b)(3)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

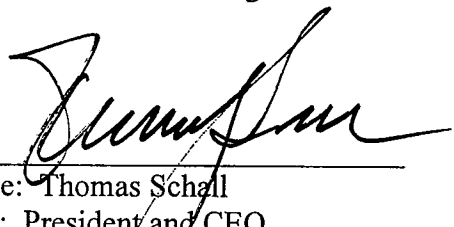
The assignee of the above-identified patent, consents to amending the  
subject patent to add:

**Valeri M. Martichonok**

as an inventor of the above-identified patent. The assignment has been recorded by the  
Assignment Division of the U.S. Patent and Trademark Office on December 8, 2003.

The Undersigned has the authority to act on behalf of the assignee.

Date: April 7, 2008

By:   
Name: Thomas Schall  
Title: President and CEO  
Assignee: ChemoCentryx, Inc.